

# Normative Values for Thyroid Volume and Tracheal Index in Healthy Turkish Newborns in an Iodine Sufficient Region

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## What is already known on this topic?

Each community must determine its own normative values for accurate assessment of neonatal thyroid dimensions. In previous studies, local neonatal thyroid volume normative values for various regions of our country were given, but there is no data on tracheal index.

## What this study adds?

Normative thyroid volume and tracheal index data of healthy newborns in Aydın city, which is iodine-sufficient, are presented. A handheld ultrasound device may be used to calculate the neonatal tracheal index.

## Abstract

**Objective:** This study aimed to determine the normal values of thyroid volume and tracheal index in healthy, term newborns born in an iodine-sufficient population. Moreover, the usability of a handheld device for assessing tracheal index was assessed.

**Methods:** Thyroid imaging was performed at 0-2 days and 15-30 days using handheld and portable ultrasound (US) devices. Thyroid volume and tracheal index were calculated using standard formulae.

**Results:** A total of 144 healthy, term newborns with a mean birth weight 3230 g were enrolled. The normal thyroid volume for the entire population was  $0.66 \pm 0.25$  mL at 0-2 days, which significantly increased to  $1.12 \pm 0.33$  mL at 15-30 days ( $p < 0.01$ ). There were no significant differences in thyroid volume between genders in either age group ( $p = 0.246$  and  $p = 0.879$ ). Thyroid volume correlated with birth weight, length, and head circumference, with the strongest correlation being with birth weight ( $r = 0.404$ ,  $p < 0.001$ ;  $r = 0.252$ ,  $p = 0.002$ ;  $r = 0.223$ ,  $p = 0.007$ , respectively). The tracheal index at 0-2 days was  $1.84 \pm 0.30$  in girls,  $1.82 \pm 0.27$  in boys, and  $1.83 \pm 0.29$  overall. At 15-30 days, it was  $1.99 \pm 0.23$  in girls,  $2.00 \pm 0.28$  in boys, and  $1.99 \pm 0.25$  overall. Similar to thyroid volume, the tracheal index increased significantly with age ( $p < 0.01$ ), with no significant gender differences in either age group ( $p = 0.593$  and  $p = 0.886$ ). Thyroid volume and tracheal index were moderately correlated in both measurements ( $\rho = 0.538$ ,  $p < 0.01$ ). Measurements of the trachea, and thyroid lobe widths using portable and handheld US devices were positively correlated ( $r = 0.449$ ,  $p < 0.01$ ;  $r = 0.638$ ,  $p < 0.01$ ;  $r = 0.497$ ,  $p < 0.01$ ). There was also a correlation between tracheal index measurements using both devices at both the first and second measurements.

**Conclusion:** This study provides normative data for thyroid volumes and tracheal index in newborns from an iodine-sufficient population. The tracheal index may be used to estimate thyroid size when volume calculation is not feasible. Handheld US devices are effective for this assessment.

**Keywords:** Newborn thyroid volume, tracheal index, handheld ultrasonography

**Cite this article as:** Tuzcu G, Deveci Sevim R, Gök M, Anık A, Anık A. Normative values for thyroid volume and tracheal index in healthy Turkish newborns in an iodine sufficient region. J Clin Res Pediatr Endocrinol. [Epub Ahead of Print]



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**Conflict of interest:** None declared

**Received:** 09.08.2024

**Accepted:** 30.10.2024

**Epub:** 13.11.2024



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## Introduction

Congenital hypothyroidism (CH) is the most common endocrine disorder in the neonatal period, occurring in approximately 1:2000-1:3000 live births. The majority (85%) of cases are due to thyroid dysgenesis (aplasia, hypoplasia or ectopy) (1). Ultrasonography (US) is useful for evaluating the thyroid gland and may provide information on the structure, anatomical location, and size of the thyroid gland, thereby aiding in the etiological assessment of CH (2). However, US is operator dependent and may not be able to locate all instances of thyroid ectopia, even when using Doppler mode. In these cases, it is also helpful to use nuclear magnetic techniques, such as technetium scanning, which may identify small but usually very active ectopic thyroid tissue. There is evidence that using these modalities in combination will establish the etiology more successfully than using either modality in isolation. A large study from Glasgow demonstrated that the combined use of US and isotope scanning enabled accurate diagnosis in over 80% of newborns with elevated thyroid-stimulating hormone (TSH) levels (3).

US evaluation of the thyroid gland, when conducted by a trained sonographer, usually offers detailed information rapidly without the risks associated with radiation or contrast agents. Moreover, its widespread availability and ease of use present additional advantages (4).

The size of the thyroid gland in the neonatal period is influenced by factors such as gestational age, ethnic background, and iodine status. Consequently, it is recommended that each community establish its own normative thyroid volume data for accurate evaluation (5).

During thyroid US imaging, the US probe should be placed in the transverse plane, and the entire embryological path of the thyroid should be scanned from the tongue to the mediastinum (6). The conventional method for assessing thyroid size involves calculating thyroid volume by measuring the width, length, and height of the gland (4,7). However, in newborns with short necks and increased adiposity, accurately assessing the anatomical features and measurements of the thyroid gland can be challenging (8). Furthermore, in the absence of a dedicated probe for measuring the thyroid's longitudinal axis, a three-dimensional evaluation of the gland becomes impractical. In such cases, a simpler method known as the tracheal index can be used. The tracheal index is calculated by the ratio of the sum of the widths of both thyroid lobes to the width of the trachea (9).

The aim of this study was to determine the normal values of thyroid volumes and tracheal index in healthy newborns

born in Aydın, a region with sufficient iodine levels (10). Additionally, the study evaluated the feasibility of using a handheld US device to assess thyroid size in newborns, a method that has garnered significant interest in recent years and has been found effective in assessing thyroid tissue in older children (11).

## Materials and Methods

This prospective cohort study included healthy, term newborns, aged 0-2 days, born between January 1, 2023, and December 31, 2023, at Aydın Adnan Menderes University and Aydın Maternity and Children's Hospital, Türkiye. Exclusion criteria included congenital anomalies, low birth weight (<2500 grams), prematurity (<37 weeks), multiple pregnancies, refugees, and newborns of mothers with thyroid disease or other chronic conditions, such as diabetes mellitus or hypertension. Thyroid imaging was performed on newborns aged 0-2 days, with follow-up assessments conducted when they were between 15-30 days old.

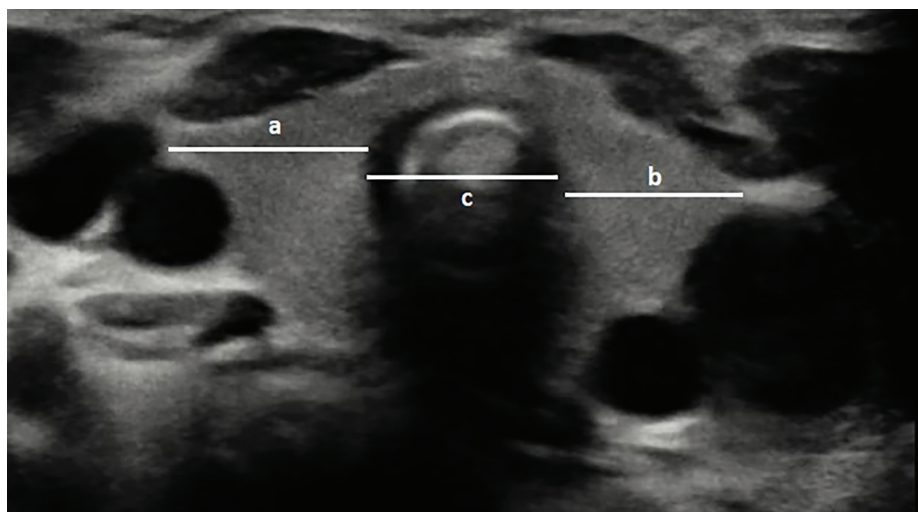
Thyroid US imaging was conducted using a portable US device (Samsung HM70 EVO - POCUS) by an experienced radiologist, and a handheld US device (Sonostar C5PL) by a pediatric endocrinologist. The endocrinologist performing measurements with the handheld US device had previously received training in device usage and thyroid US imaging, with three years of experience, and was conducting these procedures as part of clinical practice (10). These two researchers, using both the handheld and portable US devices, performed independent, blinded measurements. Necessary adjustments - such as gain, depth, and focus - were made manually on the handheld US device in each case to ensure optimal image clarity during the thyroid measurements.

Babies were positioned supine with their necks extended. Measurements of each thyroid lobe, including the longitudinal length, were obtained in three planes using a portable US device. Thyroid volume for each lobe was calculated using the following formula: [anterior-posterior x mediolateral (ML) x longitudinal] x  $\pi/6$  (6), and expressed in milliliters.

The tracheal index is calculated as the ratio of the sum of the maximum widths of the right and left lobes in the ML line on a transverse section of the thyroid gland to the tracheal width at the level of the thyroid.

Tracheal index = (right lobe width + left lobe width) / tracheal width

Since the measurement plane for tracheal index is the ML line, the difference in measurement during inspiration and expiration is not significant (Figure 1) (9).



**Figure 1.** Calculation of the tracheal index on a transverse sonogram of the thyroid [the sum of the maximum width of each thyroid lobe (a + b) divided by the width of the trachea at the level of the thyroid (c) gives the transverse sonogram tracheal index]

The longitudinal measurements required to calculate thyroid volume could not be performed using the handheld US device, due to the probe length being unsuitable for the anatomy of the newborn's neck. Therefore, measurements from the handheld US device were used only for calculating the tracheal index, as volume data could not be provided. To assess the reliability of the handheld US device, the tracheal index data were compared between the results obtained from the two devices.

### Statement of Ethics

All procedures involving human participants in this study were conducted in accordance with the ethical standards of the institutional and/or national research committee, as well as the 1964 Helsinki Declaration and its later amendments or comparable ethical standards. The study was approved by the Non-interventional Ethics Committee of Aydin Adnan Menderes University (protocol no: 2022/213, date: 04.01.2023). Informed consent was obtained from all participants.

### Statistical Analysis

Statistical analysis was performed using the Statistical Package for Social Sciences, version 21 (IBM Corp., Armonk, NY, USA). Descriptive statistics are presented as mean and standard deviation (SD) for normally distributed data, and as median, minimum, and maximum values for non-normally distributed data. The normality of continuous variables was assessed using descriptive statistics, skewness and kurtosis coefficients, histogram, and the Shapiro-Wilk test. The chi-square test was used for categorical data. For comparing two independent groups, the t-test was used for normally distributed data, and the Mann-Whitney U test for non-normally distributed data. For variance analysis, ANOVA

was used for normally distributed data (with Tukey post-hoc test if variances were homogeneous and Tamhane's T2 test if variances were not homogeneous); otherwise, the Kruskal-Wallis H test was used (with Dunn's test for post-hoc analysis). Bland-Altman analysis was used to assess the consistency of measurement data. Pearson's correlation was used for normally distributed data, and Spearman's correlation was used for non-normally distributed data. The type 1 error level was set at 0.05.

### Results

The study included 144 healthy term newborns, of whom 49.3 % were female. The gestational age of the subjects were  $38.8 \pm 1.30$  weeks, birth weight was  $3230 \pm 426$  grams, and the birth length was  $48.5 \pm 2.13$  cm. All subjects had capillary TSH levels within the normal range ( $< 5.5$  mU/L).

On days 0-2, the thyroid volume was  $0.64 \pm 0.26$  mL in girls and  $0.68 \pm 0.23$  mL in boys ( $p > 0.05$ ). The mean thyroid volume for the entire population was  $0.66 \pm 0.25$  mL. Between days 15-30, the thyroid volume was  $1.12 \pm 0.33$  mL in girls,  $1.13 \pm 0.33$  mL in boys, and  $1.12 \pm 0.33$  mL for the entire population. Thyroid volume significantly increased in the second measurement as the infants grew ( $p < 0.01$ ) (Table 1). No significant differences in thyroid volume were found between genders in either age group ( $p = 0.246$  and  $p = 0.879$ , respectively).

There was a correlation between thyroid volume and birth weight, length, and head circumference, with the strongest correlation being with birth weight ( $r = 0.404$ ,  $p < 0.001$ ;  $r = 0.252$ ,  $p = 0.002$ ;  $r = 0.223$ ,  $p = 0.007$ , respectively) (Figure 2). Thyroid volumes according to birth weight SD score are presented in Table 2.

**Table 1. Normal thyroid volume by age and gender**

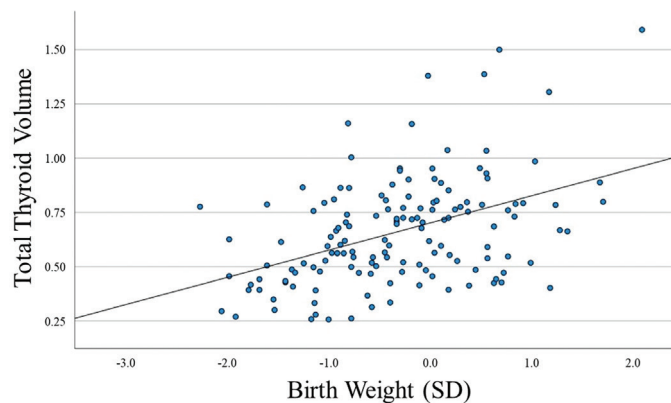
	n	Thyroid volume (mL) 0.-2. day	Thyroid volume (mL) 15.-30. day	p
Girls	71	0.64 ± 0.26	1.12 ± 0.33	< 0.01
Boys	73	0.68 ± 0.23	1.13 ± 0.33	< 0.01
Total	144	0.66 ± 0.25	1.12 ± 0.33	< 0.01

mL: milliliters

**Table 2. Thyroid volume normal values according to birth weight**

Weight (SD)	Boys Thyroid volume (mL)			Girls Thyroid volume (mL)		
	Mean ± SD (median)	-2 SD	+ 2 SD	Mean ± SD (median)	-2 SD	+ 2 SD
-2-(-1) (n = 13)	0.50±0.16 (0.43)	0.18	0.82	0.45±0.17 (0.46)	0.11	0.78
-1-0 (n = 32)	0.71±0.23 (0.68)	0.25	1.17	0.62±0.18 (0.62)	0.26	0.98
0-1 (n = 23)	0.75±0.23 (0.76)	0.29	1.21	0.72±0.25 (0.73)	0.22	1.22
1-2 (n = 5)	0.71±0.19 (0.78)	0.33	1.09	1.19±0.48 (1.31)	0.23	2.15

SD: standard deviation, mL: milliliters

**Figure 2.** Correlation of thyroid volume with birth weight

SD: standard deviation

On days 0-2, the tracheal index was  $1.84 \pm 0.30$  in girls,  $1.82 \pm 0.27$  in boys, and  $1.83 \pm 0.29$  in the entire population; median values were 1.85, 1.82, and 1.82, respectively. Between days 15-30, the tracheal index increased to  $1.99 \pm 0.23$  in girls,  $2.00 \pm 0.28$  in boys, and  $1.99 \pm 0.25$  in the entire population, with median values of 1.99, 1.90, and 1.94, respectively. Similar to thyroid volume measurements, the tracheal index increased with age ( $p < 0.01$ ), but no significant differences were found between genders in either age group ( $p = 0.593$  and  $p = 0.886$ , respectively) (Table 3).

Thyroid volume and tracheal index were moderately correlated in both the first and second measurements ( $\rho = 0.538$ ,  $p < 0.01$ ) (Figure 3).

Measurements of the trachea and the right and left thyroid lobe widths using both portable and handheld US devices were correlated ( $r = 0.449$ ,  $p < 0.01$ ;  $r = 0.638$ ,  $p < 0.01$ ;

$r = 0.497$ ,  $p < 0.01$ , respectively). In both the first and second measurements, there was a correlation between tracheal index measurements using both portable and handheld US devices (Table 4).

## Discussion

This study was designed to determine the normal thyroid volume values and tracheal index in healthy term newborns in an iodine-sufficient region (10), as well as to evaluate the feasibility of handheld US devices for these measurements. The study provided essential normative data for thyroid volume and tracheal index, stratified by gender and by early and late neonatal periods for the local population. However, these findings are not generalizable to the entire population of Türkiye, as not all regions are iodine-sufficient.

## Thyroid Volume

Numerous studies from various regions of Türkiye and around the world have investigated thyroid volume. These studies have demonstrated that thyroid size varies based on ethnic background, geographical features, dietary habits, and particularly iodine status. Consequently, it is recommended to use community-specific normative data when assessing thyroid size (5).

In Türkiye, studies conducted in Bursa and Kayseri in 2008, and in Trabzon in 2012, reported larger thyroid sizes in newborns compared to our findings (12,13,14). This discrepancy may be attributed to the long-standing iodine sufficiency in our region, supported by the national iodized salt program initiated in 1998.



**Table 3. Tracheal index by age and gender**

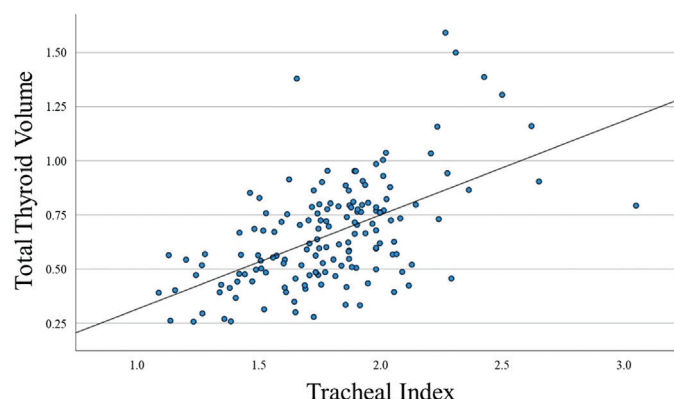
	n	Tracheal index mean $\pm$ SD (median) day 0-2	Tracheal index mean $\pm$ SD (median) day 15-30	p
<b>Girls</b>	71	1.84 $\pm$ 0.30 (1.85)	1.99 $\pm$ 0.23 (1.99)	<b>&lt; 0.01</b>
<b>Boys</b>	73	1.82 $\pm$ 0.27 (1.82)	2.00 $\pm$ 0.28 (1.90)	<b>&lt; 0.01</b>
<b>Total</b>	144	1.83 $\pm$ 0.29 (1.82)	1.99 $\pm$ 0.25 (1.94)	<b>&lt; 0.01</b>

The tracheal index is calculated as the ratio of the sum of the maximum widths of the right and left thyroid lobes in the transverse section to the width of the trachea at the thyroid level.

SD: standard deviation

**Table 4. Correlation of tracheal index measure with handheld and portable ultrasound devices**

		Portable device (first measurement)	Portable device (second measurement)
<b>Handheld device (first measurement)</b>	r	0.520	
	p	<b>&lt; 0.001</b>	
<b>Handheld device (second measurement)</b>	r		0.421
	p		<b>0.001</b>



**Figure 3. Correlation of tracheal index and thyroid volume**

When compared with data from regions with mild to moderate iodine deficiency around the world, the thyroid volumes in our study were found to be lower (6,15,16). While we attribute this to residing in an iodine-sufficient community, we also recognize the potential influence of other confounding factors. In our study, thyroid volumes were observed to increase over time, from the initial measurements to those taken on days 15-30, consistent with the expected growth patterns in newborns. During this period, thyroid volume increased by 1.75-fold, while body weight increased by 1.22-fold, height by 1.08-fold, body mass index by 1.07-fold, and body surface area by 1.13-fold. Although thyroid volume was significantly larger on day 15-30 compared to baseline, this increase was not correlated with changes in anthropometric measurements. In addition, no significant differences were observed between genders in either age group, which is consistent with the existing literature (6,15,17).

When examining the relationship between thyroid volume and birth weight, length, and head circumference, the

strongest correlation was with birth weight, although thyroid volume was correlated with all parameters. When assessing thyroid volume according to birth weight, it was observed that thyroid volume increased with each increase in weight SD in both genders. The only exception was seen in boys between + 1 and + 2 SD; however, the small sample size of this group precludes a definitive assessment. While the correlation between thyroid volume and auxological parameters is well-documented (17), larger-scale studies with a homogenous weight distribution are needed to recommend assessing thyroid size based on birth weight.

### Tracheal Index

Measuring the long axis of the thyroid gland in neonates is technically challenging, which has led to the idea of evaluating thyroid size based solely on transverse plane imaging, a concept that has been considered for several years. While various techniques have been attempted, the calculation of the tracheal index, first introduced by Yasumoto et al. (8), has gained wider acceptance. However, it is ideal to have normative data for tracheal index, in a similar fashion to thyroid volume that is specific to each population. In this study the tracheal index norms for newborns in Aydın, an iodine-sufficient region of Türkiye was investigated.

The study found that the tracheal index increased with age, similar to thyroid volume, and did not differ between genders. Moreover, both thyroid volume and tracheal index showed a moderate correlation in both the first and second measurements. A study conducted in an iodine-sufficient population in Brazil, found a weak correlation between thyroid volume and tracheal index (15). We believe that our study supports this relationship and demonstrates the applicability of the tracheal index in neonates, where three-dimensional measurements may be challenging.

In the literature, cases with a tracheal index below 1.7 and low uptake on scintigraphy have been considered as thyroid hypoplasia (17). We propose that in our region, newborns with a tracheal index below 1.49 may be considered as having thyroid hypoplasia. However, larger series of studies are required to establish definitive thresholds.

The results of the present study demonstrated that measurements made using portable and hand-held US devices were correlated between the tracheal index and the widths of both the right and left thyroid lobes. The bedside handheld US device can be used in routine evaluations by trained clinicians due to its easy accessibility and practicality in estimating thyroid size.

### Study Limitations

In our study, we were unable to perform longitudinal measurements with the handheld US device due to the incompatibility of the device's long axis with the anatomy of the newborn's neck. Instead, we were able to measure the widths of both lobes and the trachea in the transverse section and only compared these data. Including a comparison of thyroid volume would have increased the robustness of our study. Although previous studies have demonstrated that Aydın is an iodine-sufficient region, the urinary iodine levels of the mothers and newborns were not measured in this study, which is one of its potential limitations.

### Conclusion

This study provided valuable normative data on thyroid volume and tracheal index for newborns in an iodine-sufficient region of Türkiye. The findings highlight the importance of region-specific references for thyroid measurements, given the differences found between Aydın and other Turkish regions. The findings also support the use of portable US devices as reliable tools for assessing tracheal index in newborns. Further research in diverse geographical and iodine status contexts will help to expand the understanding of normal thyroid size in newborns, and particularly the definitive relationship between thyroid volume and tracheal index which was moderately correlated in the present study.

### Ethics

**Ethics Committee Approval:** The study was approved by the Non-interventional Ethics Committee of Aydın Adnan Menderes University (protocol no: 2022/213, date: 04.01.2023).

**Informed Consent:** Informed consent in this study was taken from all participants.

### Footnotes

#### Authorship Contributions

Concept: Ahmet Anık, Design: Ahmet Anık, Data Collection or Processing: Göksel Tuzcu, Reyhan Deveci Sevim, Mustafa Gök, Ayşe Anık, Ahmet Anık, Analysis or Interpretation: Göksel Tuzcu, Reyhan Deveci Sevim, Ahmet Anık, Literature Search: Göksel Tuzcu, Reyhan Deveci Sevim, Mustafa Gök, Ahmet Anık, Writing: Göksel Tuzcu, Reyhan Deveci Sevim, Ahmet Anık.

**Financial Disclosure:** The authors declared that this study received no financial support.

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